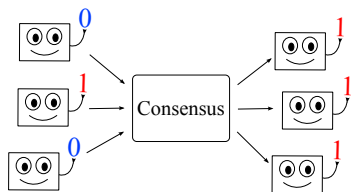


Randomized consensus in expected $O(n^2)$
total work using single-writer registers

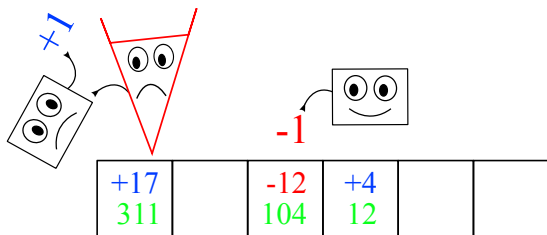
James Aspnes
Yale

September 20th, 2011



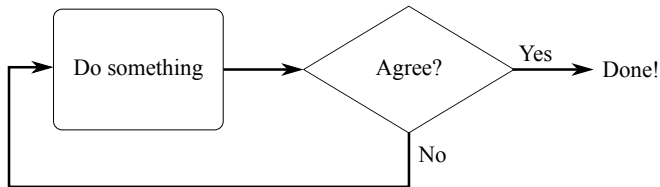
- **Termination:** All non-faulty processes terminate.
- **Validity:** Every output value is somebody's input.
- **Agreement:** All output values are equal.

Asynchronous single-writer register model



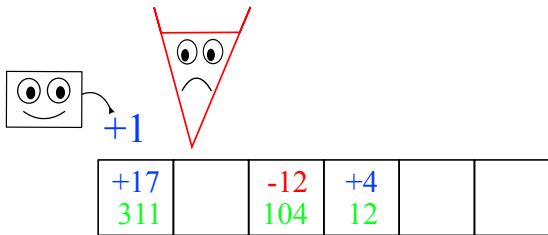
- n concurrent processes.
- Each can write to its own register.
- Timing controlled by an **adversary scheduler**.
- Algorithm is **wait-free**: tolerates $n - 1$ **crash failures**.

Implementing consensus



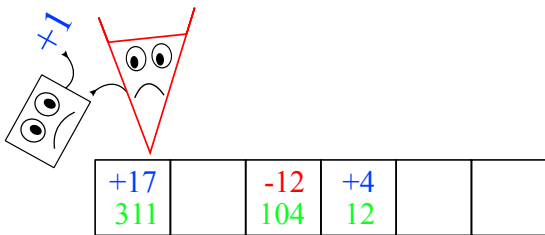
- Typical implementation: use some randomized process that produces agreement with some probability, and commit to a return value when we detect agreement.
- **Weak shared coin** chooses each value $\{0, 1\}$ with probability at least δ .
- If δ is constant, expected cost of consensus = $O(\text{cost of weak shared coin})$. (Aspnes and Herlihy, 1990)

How to build a weak shared coin



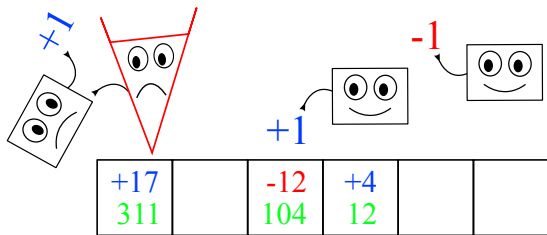
- Take majority of many ± 1 random votes.
- Adversary can stop up to $n - 1$ of them.
- But we generate $\Theta(n^2)$ votes.
- So majority is not affected (with constant probability).

How to build a weak shared coin



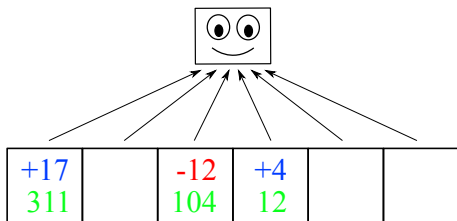
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How to build a weak shared coin



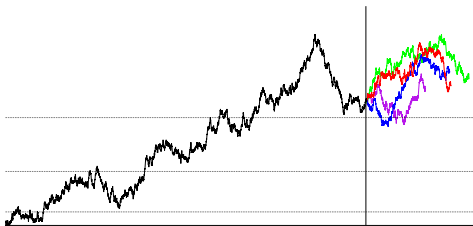
- Take majority of many ± 1 random votes.
- Adversary can stop up to $n - 1$ of them.
- But we generate $\Theta(n^2)$ votes.
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Collecting the votes



- Total vote is computed by reading all registers (a **collect**).
- Collects are expensive ($\Theta(n)$ operations), so we can't do them very often.

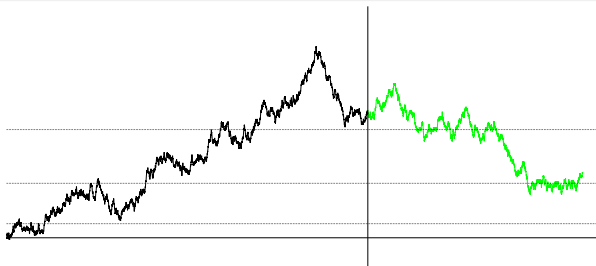
Bracha-Rachman protocol



- Check total every $\Theta(n/\log n)$ votes.
- \Rightarrow Amortized work per vote is $\Theta(\log n)$.
- \Rightarrow Total work is $\Theta(n^2 \log n)$.
- Why it works:
 - $\Theta(n^2)$ **common votes** produce linear-sized majority with constant probability.
 - $O(n^2/\log n)$ **extra votes** seen by one process change this enough to make a difference with probability $\ll 1/n$.

(Bracha and Rachman, WDAG 1991)

Attiya-Censor protocol

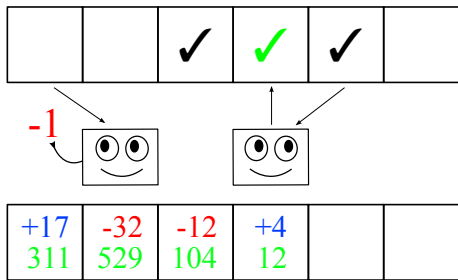


- Get all processes to agree on extra votes.
- \Rightarrow OK to have $O(n^2)$ extra votes.
- \Rightarrow Only need to check total every $O(n)$ votes.
- \Rightarrow Amortized cost per vote = $O(1)$.
- \Rightarrow Total cost = $O(n^2)$ (optimal).

Mechanism: *multi-writer* **termination bit** shuts down voting immediately as soon as one process sees enough votes.

(Attiya and Censor, JACM 2008)

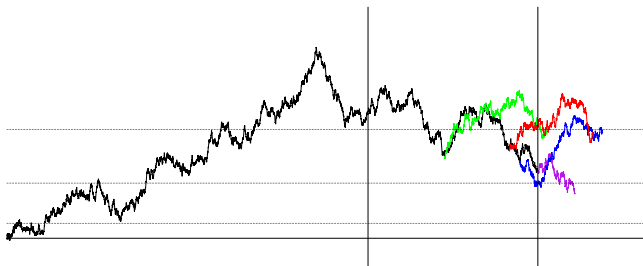
Getting rid of the multi-writer bit



Replace with randomized gossip:

- Each process has its own bit $done[i]$.
- Read uniformly chosen $done[r]$ before each vote.
- Stop and set my own $done$ bit if I see somebody else is done.

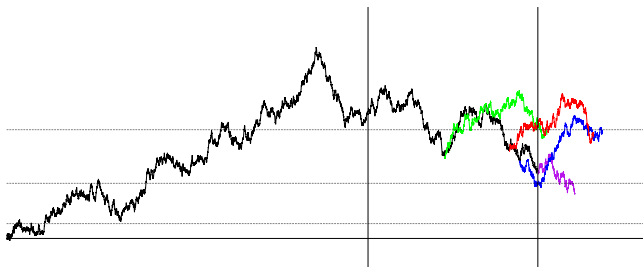
Effect of done bits



- If k *done* bits are set, $\Pr[\text{done}[r] = 1] = k/n$.
- \Rightarrow on average, each process generates $\leq n/k$ more votes.
- \Rightarrow on average, k -th process to set *done* $[i]$ sees $\leq n^2/k$ extra votes.
- We'll show stronger result that, with probability $1/2$, no process sees more than $2n^2/k$ extra votes.

$2n^2/k$ bound on extra votes

- Let **contribution** of a vote be number of *done* bits set when it is generated
 \geq number of processes that include it in their extra votes.
- $Y_t = \sum(\text{contributions}) + n \cdot (\# \text{ of processes still voting})$.
- Each vote:
 - Raises left term by k .
 - Lowers right term by $n \cdot (k/n) = k$ on average.
 - Total effect is 0 on average.
- So $E[\sum(\text{all contributions})] = E[Y_\infty] \leq E[Y_0] = n^2$.
- With prob. $1/2$, $\sum(\text{all contributions}) \leq 2n^2$.
- If I am k -th process to write *done*[i], extra votes I see all have contribution $\geq k$.
- \Rightarrow I see $\leq 2n^2/k$ extra votes.



All of these events happen with constant probability:

- Total vote is more than $8n$ after $64n^2$ votes.
- Vote stays above $4n$ until all processes see $64n^2$.
- Extra votes don't push total below n :
 - $\Pr[X_k \leq -3n] \leq \exp\left(-\frac{(3n)^2}{2(2n^2/k)}\right) = (e^{-9/4})^k$.
 - Sum is geometric series $< 1/8$.
- \Rightarrow Everybody stays above $+n$.

- $O(n^2)$ total work for consensus with single-writer registers.
- Optimal even for multi-writer registers.
(Attiya and Censor, JACM 2008)
- What about individual work?
 - Best known multi-writer bound is $O(n)$
(Aspnes and Censor, SODA 2009).
 - Best known single-writer bound is $O(n \log^2 n)$
(Aspnes and Waarts, SICOMP 1996).
 - Right answer is probably $O(n)$, but not clear how to get it.